

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PERCUS.093A	APPLICATION NO. 09/438,030
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Imran et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE November 11, 1999	GROUP 3734

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
(b)	3,144,868	08/18/64	Jascalevich			
CIPE JC	4,276,874	07/07/81	Wolvek et al.			
MAR 14 2000	4,445,892	05/01/84	Hussein et al.			
PTENT & TRADEMARK OFFICE	4,456,011	06/26/84	Wamecke			
	4,511,354	04/16/85	Sterling			
	4,573,966	03/04/86	Weikl et al.			
	4,636,195	01/13/87	Wolinsky			
	4,696,668	09/29/87	Wilcox			
	4,705,507	11/10/87	Boyles			
	4,714,460	12/22/87	Calderon			
	4,771,777	09/20/88	Horzewski et al.			
	4,781,677	11/01/88	Wilcox			
	4,790,812	12/13/88	Hawkins, Jr., et al.			
	4,790,813	12/13/88	Kensey			
	4,824,436	04/25/89	Wolinsky			
	4,832,028	05/23/89	Patel			
	4,838,268	06/13/89	Keith et al.			
	4,911,163	03/27/90	Fina			
	4,921,478	05/01/90	Solano et al.			
	4,946,466	08/07/90	Pinchuk et al.			
	4,998,917	03/12/91	Gaiser et al.			
	5,000,743	03/19/91	Patel			
	5,035,686	06/30/91	Crittenden et al.			
	5,135,484	08/04/92	Wright			
	5,163,905	11/17/92	Don Michael			
	5,152,277	10/06/92	Honda et al.			
(b)	5,221,270	06/22/93	Parker			

EXAMINER <i>Cathleen Ahe</i>	DATE CONSIDERED <i>11/10/99</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

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13	5,226,889	07/13/93	Sheiban			
13 P E OCT 12 MAR 14 2000 PATENT & TRADEMARK OFFICE	5,250,060	10/05/93	Carbo et al.			
	5,256,141	10/26/93	Gencheff et al.			
	5,281,200	01/25/94	Corso, Jr. et al.			
	5,320,604	06/14/94	Walker et al.			
	5,320,605	06/14/94	Sahota			
	5,322,508	06/21/94	Viera			
	5,328,471	06/12/94	Slepian			
	5,342,306	08/30/94	Michael			
	5,380,284	01/10/95	Michael			
	5,395,311	03/07/95	Andrews			
	5,397,307	03/14/95	Goodin			
	5,403,274	04/04/95	Cannon			
	5,405,322	04/11/95	Lennox et al.			
	5,413,558	05/09/95	Paradis			
	5,415,635	05/16/95	Bagaoisan et al.			
	5,415,636	05/16/95	Forman			
	5,419,774	05/30/95	Willard et al.			
	5,423,744	06/13/95	Gencheff et al.			
	5,439,000	08/08/95	Gunderson et al.			
	5,439,446	08/08/95	Barry			
	5,458,573	10/17/95	Summers			
	5,458,574	10/17/95	Machold et al.			
	5,460,610	10/24/95	Don Michael			
	5,478,309	12/26/95	Sweezer et al.			
	5,484,412	01/16/96	Pierpont			
	5,496,346	03/05/96	Horzewski et al.			
CB.	5,500,180	03/19/96	Anderson et al.			

EXAMINER <i>Cathleen Ahe</i>	DATE CONSIDERED <i>01/10/01</i>
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<i>APPE</i>	5,505,702	04/09/96	Arney			
<i>APPE JCT MAR 14 2000</i>	5,514,092	05/07/96	Forman et al.			
	5,645,533	07/08/97	Blesser et al.			
	5,823,996	10/20/98	Sparks			
	5,833,650	11/10/98	Imran			
	5,941,896	08/24/99	Kerr			
<i>OB</i>						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO/83/01894	06/09/83	PCT				
<i>OB</i>	WO/89/01309	02/23/89	PCT				
	WO/95/09024	04/06/95	PCT				
	WO/96/01079	01/18/96	PCT				
	WO/96/15824	05/30/96	PCT				
	WO/97/44082	11/27/97	PCT				
	WO/98/26833	06/25/98	PCT				
	WO/98/38930	09/11/98	PCT				
	WO/98/44982	10/15/98	PCT				
<i>OB</i>	WO/99/22673	05/14/99	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
<i>OB</i>	"Coronary and Peripheral Angioplasty: Historic Perspective"	
	Myler, et al., II-Coronary and Peripheral Angioplasty. Chapter 9, pp. 171-185	
<i>OB</i>	"Restenosis: The Clinical Issues"	
	Hillegass, et al., II-Coronary and Peripheral Angioplasty, Chapter 22, pp.415-435	

EXAMINER <i>Anthony Ahe</i>	DATE CONSIDERED <i>4/1/01</i>
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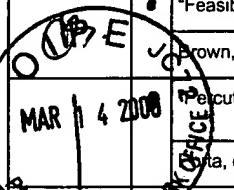
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Imran et al.	
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
✓	• "The Pathology of Interventional Coronary Artery Techniques and Devices"
✓	• Waller, et al., II-Coronary and Peripheral Angioplasty, Chapter 24, pp. 449-476
✓	• "Percusion Angioplasty:" Kerejakes, et al., II-Coronary and Peripheral Angioplasty, Chapter 25, pp. 477-494
✓	• "Angioplasty and Interventional Vascular Procedures in the Peripheral, Renal, Visceral and Extracranial Circulation" Wholey, et al., II-Coronary and Peripheral Angioplasty, Chapter 33, pp. 600-628
✓	• "DCA Device" (section) and summary III-Coronary Atherectomy, Chapter 35, pp. 642, 657, and 658
✓	• "Percutaneous Coronary Rotational Angioplasty with the Rotablator" Bertrand, et al., III-Coronary Atherectomy, Chapter 36, pp. 659, 666 and 667
✓	• "Extraction Atherectomy" III-Coronary Atherectomy, Chapter 37, pp. 669, 675-677
✓	• "Zeppelin-1066: Flow Control and Protection During Carotid Angioplasty" Micro Interventional Systems, Inc., Advertisement
✓	• "Zeppelin-1066: Flow Control Improves Safety During CCF Embolization" Micro Interventional Systems, Inc., Advertisement
✓	• "Zeppelin-1066: Provides Flow Control and Protection During Detachable Balloon Occlusion" Micro Interventional Systems, Inc., Advertisement
✓	• "Zeppelin: Balloon Guiding Catheter" Micro Interventional Systems, Inc., Advertisement
✓	• "Carotid Endarterectomy" Hershey/Calman, Atlas of Vascular Surgery, pp. 311-318, 1973
✓	• "Percutaneous Transluminal Angioplasty in Arteriosclerotic Internal Carotid Artery Stenosis" Bockenheimer, et al., AJNR, 4:791-792, May/June 1983
✓	• "Percutaneous Transluminal Angioplasty of the Carotid Artery" Tsai, et al., AJNR, 7:349-358, March/April 1986
✓	• "Practical Aspects of Percutaneous Transluminal Angioplasty of the Carotid Artery" Tsai, et al., ACTA Radiologica, Supplementum 369, XIII Symposium Neuroradiologicum Stockholm, June 1986
✓	• "Transluminal Angioplasty for the Treatment of Carotid Arter Stenosis"

EXAMINER <i>Christopher Ashe</i>	DATE CONSIDERED <i>11/11/01</i>
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	Freitag, et al., VASA, Band 16, Heft 1, 1987
 	• "Feasibility of Percutaneous Transluminal Angioplasty for Carotid Artery Stenosis"
	✓ Brown, et al., Journal of Neurology, Neurosurgery, and Psychiatry, 53(3): 238 - 243, March 1990
	• "Percutaneous Angioplasty of Artherosclerotic Carotid Arteries"
	• Botta, et al., Cerebrovasc Dis., 1:265-272, 1991
	• "Percutaneous Transluminal Angioplasty (PTA) of Supra-Aortic Arteries Especially the Internal Carotid Artery"
	• Kachel, et al., Neuroradiology, 33(3): 191-194, 1991
	• "Carotid Angioplasty: Haemodynamic and Embolic Consequences"
	• Markus, et al., Cerebrovascular Diseases, Abstract 214, Page 259, July-August 1994
	• "Carotid Endarterectomy: The Gold Standard"
	• Zarins, Journal of Endovascular Surgery, 3(1): pp. 10-15, February 1996
• "Angiojet® System Used to Treat Stroke Victim"	
• Possis Medical, Inc., News Release, September 11, 1996	
• "Current and Future Treatment of Carotid Bifurcation Atherosclerotic Disease: A Perspective"	
• Becker, Journal of Vascular and Interventional Radiology, 8(1): 3-8, January-February 1997	
• "Percutaneous Angioplasty of Atherosclerotic and Postsurgical Stenosis of Carotid Arteries"	
• J. Teron et al., AJNR., 6:495-500, May/June 1987	

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EXAMINER <i>Confine, Ahe</i>	DATE CONSIDERED <i>11/10/01</i>
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U.S. PATENT DOCUMENTS

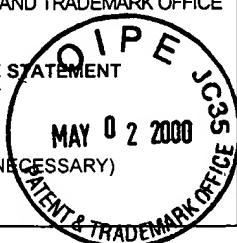
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Ch.	4,299,226	11/10/81	Banka			
	4,468,216	08/28/84	Muto			
	4,610,662	09/09/86	Weikl et al.			
	4,692,139	09/08/87	Stiles			
	4,723,549	02/09/88	Wholey et al.			
	4,794,928	01/03/89	Kletschka			
	4,926,858	05/22/90	Gifford, III et al.			
	4,950,238	08/21/90	Sullivan			
	4,988,356	01/29/91	Crittenden et al.			
	5,389,072	02/14/95	Imran			
	5,464,394	11/07/95	Miller et al.			
	5,476,450	12/19/95	Ruggio			
	5,634,897	06/03/97	Dance et al.			
	5,662,671	09/02/97	Barbut et al.			
	5,688,234	11/18/97	Frisbie			
	5,779,721	07/14/98	Nash			
	5,833,644	11/10/98	Zadno-Azizi et al.			
	5,868,705	02/09/99	Bagaoisan et al.			
	5,879,361	03/09/99	Nash			
	5,893,867	04/13/99	Bagaoisan et al.			
	5,895,399	04/20/99	Barbut et al.			
	5,968,064	10/19/99	Selmon et al.			
	6,022,336	02/08/00	Zadno-Azizi et al.			
Ch.	6,050,972	04/18/00	Zadno-Azizi et al.			

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							YES	NO
CG.		WO 92/21282	10/12/92	PCT				
CG.		WO 99/42059	26/08/99	PCT				
CG.		DE 3804849 A1	01/09/88	Deutschland/Germany			X	

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

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